



Customer Number: 00959

Attorney's  
Docket  
Number BTW-014

Declaration, Petition and Power of Attorney for Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD FOR INTEGRATING OPTICAL DEVICES IN A SINGLE EPITAXIAL GROWTH STEP**

the specification of which

(check one)

X is attached hereto.

— was filed on \_\_\_\_\_ as

Application Serial No. \_\_\_\_\_

and was amended on \_\_\_\_\_  
(if applicable)

I do not know and do not believe that the subject matter of this application was known or used by others in the United States or patented or described in a printed publication in any country before my invention thereof, or patented or described in a printed publication in any country or in public use or on sale in the United States more than one year prior to the date of this application, or first patented or caused to be patented or made the subject of an inventor's certificate by me or my legal representatives or assigns in a country foreign to the United States prior to the date of this application on an application filed more than twelve months (six months if this application is for a design) before the filing of this application; and I acknowledge my duty to disclose information of which I am aware which is material to the examination of this application, that no application for patent or inventor's certificate on the subject matter of this application has been filed by me or my representatives or assigns in any country foreign to the United States, except those identified below, and that I have reviewed and understand the contents of the specification, including the claims as amended by any amendment referred to herein.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

### CLAIM OF BENEFIT OF EARLIER FOREIGN APPLICATION(S)

I hereby claim priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below, and have also identified below any foreign application(s) for patent or inventor's certificate filed by me on the same subject matter having a filing date before that of the application(s) from which priority is claimed.

Check one:

☒ no such applications have been filed.

☐ such applications have been filed as follows

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Country	Application Number	Date of Filing (month,day,year)	Priority Claimed Under 35 USC 119
			_ Yes      No _
			_ Yes      No _
			_ Yes      No _
			_ Yes      No _
			_ Yes      No _

ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION


CLAIM FOR BENEFIT OF U.S. PROVISIONAL APPLICATION(S)

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

60/414,402  
(Application Serial No.)

September 30, 2002  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

## CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, §120 of any earlier United States application(s) or PCT international application(s) designating the United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the earlier application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date(s) of the earlier application(s) and the national or PCT international filing date of this application. As to subject matter of this application which is common to my earlier application(s), if any, described below, I do not know and do not believe that the same was known or used by others in the United States or patented or described in a printed publication in any country before my invention thereof, or patented or described in a printed publication in any country or in public use or on sale in the United States more than one year prior to the date(s) of said earlier application(s), or first patented or caused to be patented or made the subject of an inventor's certificate by me or my legal representatives or assigns in a country foreign to the United States prior to the date(s) of said earlier application(s) on an application filed more than twelve months (six months if this application is for a design) before the filing of said earlier application(s); and I acknowledge that no application for patent or inventor's certificate on said subject matter has been filed by me or my representatives or assigns in any country foreign to the United States except those identified herein.

_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status) (patented,pending,aband.)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status) (patented,pending,aband.)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

James E. Cockfield	Reg. No. 19,162	Maria C. Laccotripe Zacharakis	Limited Recognition
Thomas V. Smurzynski	Reg. No. 24,798		Under 37 C.F.R. § 10.9(b)
Ralph A. Loren	Reg. No. 29,325	Debra J. Milasincic	Reg. No. 46,931
Giulio A. DeConti, Jr.	Reg. No. 31,503	David R. Burns	Reg. No. 46,590
Elizabeth A. Hanley	Reg. No. 33,505	Sean D. Detweiler	Reg. No. 42,482
Amy E. Mandragouras	Reg. No. 36,207	Cynthia L. Kanik	Reg. No. 37,320
Anthony A. Laurentano	Reg. No. 38,220	Theodore R. West	Reg. No. 47,202
Kevin J. Canning	Reg. No. 35,470	Hathaway P. Russell	Reg. No. 46,488
Jane E. Remillard	Reg. No. 38,872	John S. Curran	Reg. No. 50,445
DeAnn F. Smith	Reg. No. 36,683	Lisa M. DiRocco	Reg. No. 51,619
Jeanne M. DiGiorgio	Reg. No. 41,710	Danielle L. Herritt	Reg. No. 43,670
Megan E. Williams	Reg. No. 43,270	Merideth C. Arnold	Reg. No. 52,568
Jeremiah Lynch	Reg. No. 17,425	Peter W. Dini	Reg. No. 52,821
David J. Ridders	Reg. No. 43,882	Cynthia M. Soroos	Reg. No. 53,623
		Jonathan M. Sparks	Reg. No. 53,624
		Andrina M. Zink	Reg. No. 54,539
		John D. Lanza	Reg. No. 40,060

Send Correspondence to Anthony A. Laurentano, Esq. at Customer Number: 00959 whose address is:

Lahive & Cockfield, LLP, 28 State Street, Boston, MA 02109

Direct Telephone Calls to: (name and telephone number)

Anthony A. Laurentano, (617) 227-7400

Wherefore I petition that letters patent be granted to me for the invention or discovery described and claimed in the attached specification and claims, and hereby subscribe my name to said specification and claims and to the foregoing declaration, power of attorney, and this petition.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor	<b>Rick W. Glew</b> <i>RICHARD WILLIAM GLEW</i>	
Inventor's signature	<i>R Glew</i>	Date <i>15/9/03</i>
Residence	<b>1183 Rideau Bend Crescent, Manotick, ON K4M 1B5, Canada</b>	
Citizenship	<b>Canada</b> <i>U.K.</i>	
Post Office Address (if different)		

Full name of second inventor, if any <b>Ian B. Betty</b>	
Inventor's signature	Date
Residence <b>73 Robinson Avenue, Ottawa, ON K1N 8N8, Canada</b>	
Citizenship <b>Canada</b>	
Post Office Address (if different)	

Full name of third inventor, if any <b>Jonathan Greenspan</b>	
Inventor's signature	Date
Residence <b>704-2380 Baseline Road, Nepean, ON K2C 3J4, Canada</b>	
Citizenship <b>Canada</b>	
Post Office Address (if different)	

Full name of fourth inventor, if any	
Inventor's signature	Date
Residence	
Citizenship	
Post Office Address (if different)	



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**METHOD FOR INTEGRATING OPTICAL DEVICES IN A SINGLE EPITAXIAL GROWTH STEP**

the specification of which

(check one)

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— was filed on \_\_\_\_\_ as

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and was amended on \_\_\_\_\_  
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I do not know and do not believe that the subject matter of this application was known or used by others in the United States or patented or described in a printed publication in any country before my invention thereof, or patented or described in a printed publication in any country or in public use or on sale in the United States more than one year prior to the date of this application, or first patented or caused to be patented or made the subject of an inventor's certificate by me or my legal representatives or assigns in a country foreign to the United States prior to the date of this application on an application filed more than twelve months (six months if this application is for a design) before the filing of this application; and I acknowledge my duty to disclose information of which I am aware which is material to the examination of this application, that no application for patent or inventor's certificate on the subject matter of this application has been filed by me or my representatives or assigns in any country foreign to the United States, except those identified below, and that I have reviewed and understand the contents of the specification, including the claims as amended by any amendment referred to herein.

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(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Country	Application Number	Date of Filing (month,day,year)	Priority Claimed Under 35 USC 119
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

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(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION




CLAIM FOR BENEFIT OF U.S. PROVISIONAL APPLICATION(S)

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

60/414,402

(Application Serial No.)

September 30, 2002

(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

## CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)

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DeAnn F. Smith	Reg. No. 36,683	Lisa M. DiRocco	Reg. No. 51,619
Jeanne M. DiGiorgio	Reg. No. 41,710	Danielle L. Herritt	Reg. No. 43,670
Megan E. Williams	Reg. No. 43,270	Merideth C. Arnold	Reg. No. 52,568
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		Jonathan M. Sparks	Reg. No. 53,624
		Andrina M. Zink	Reg. No. 54,539
		John D. Lanza	Reg. No. 40,060

Send Correspondence to **Anthony A. Laurentano, Esq.** at Customer Number: 00959 whose address is:

**Lahive & Cockfield, LLP, 28 State Street, Boston, MA 02109**

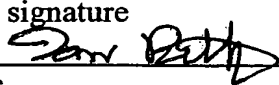
Direct Telephone Calls to: (name and telephone number)

**Anthony A. Laurentano, (617) 227-7400**

Wherefore I petition that letters patent be granted to me for the invention or discovery described and claimed in the attached specification and claims, and hereby subscribe my name to said specification and claims and to the foregoing declaration, power of attorney, and this petition.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor <b>Rick W. Glew</b>	
Inventor's signature	Date
Residence <b>1183 Rideau Bend Crescent, Manotick, ON K4M 1B5, Canada</b>	
Citizenship <b>Canada</b>	
Post Office Address (if different)	

Full name of second inventor, if any <b>Ian B. Betty</b>	
Inventor's signature 	Date <b>Sept 17th 2003</b>
Residence <b>73 Robinson Avenue, Ottawa, ON K1N 8N8, Canada</b>	
Citizenship <b>Canada</b>	
Post Office Address (if different)	

Full name of third inventor, if any <b>Jonathan Greenspan</b>	
Inventor's signature	Date
Residence <b>704-2380 Baseline Road, Nepean, ON K2C 3J4, Canada</b>	
Citizenship <b>Canada</b>	
Post Office Address (if different)	

Full name of fourth inventor, if any	
Inventor's signature	Date
Residence	
Citizenship	
Post Office Address (if different)	



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD FOR INTEGRATING OPTICAL DEVICES IN A SINGLE EPITAXIAL GROWTH STEP

the specification of which was filed on September 30, 2003 as Application No. 10/676976.

In the event that the filing date and/or Application No. are not entered above at the time I execute this document, and if such information is deemed necessary, I hereby authorize and request my attorneys/agent(s) at **Lahive & Cockfield, LLP**, 28 State Street, Boston, Massachusetts 02109, to insert above the filing date and/or Application No. of said application.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to herein.

I acknowledge the duty to disclose all information known to me that is material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56.

FOREIGN PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

☒ no such foreign applications have been filed

☐ such foreign application have been filed as follows:

**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing	Priority Claimed Under 35 USC 119
			___ Yes No ___
			___ Yes No ___
			___ Yes No ___

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing

**CLAIM FOR BENEFIT OF EARLIER U.S. PROVISIONAL APPLICATIONS**

I hereby claim priority benefits under Title 35, United States Code §119(e), of any United States provisional patent application(s) listed below:

☐ no such U.S. provisional applications have been filed.

☒ such U.S. provisional application have been filed as follows:

Application Number	Date of Filing	Priority Claimed Under 35 USC 119
60/414402	September 30, 2002	<u>  x  </u> Yes No ___
		___ Yes No ___
		___ Yes No ___

**CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)**

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56 which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

☒ no such U.S./PCT applications have been filed.

☐ such U.S./PCT application have been filed as follows:

Application Number	Relationship	Parent Application	Date of Filing

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint:

All practitioners at Customer Number 00959 (listed here):

James E. Cockfield	19,162	Jeanne M. DiGiorgio	41,710	Hathaway P. Russell	46,488
Thomas V. Smurzynski	24,798	Megan E. Williams	43,270	John S. Curran	50,445
Ralph A. Loren	29,325	Jeremiah Lynch	17,425	Lisa M. DiRocco	51,619
Giulio A. DeConti, Jr.	31,503	David J. Ridders	43,882	Danielle L. Herritt	43,670
Elizabeth A. Hanley	33,505	* Maria Laccotripe Zacharakis, Ph.D.		Cynthia M. Soroos	53,623
Amy E. Mandragouras	36,207	Debra J. Milasincic	46,931	Jonathan M. Sparks, Ph.D.	53,624
Anthony A. Laurentano	38,220	David R. Burns	46,590	Merideth C. Arnold	52,568
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Jane E. Remillard	38,872	Cynthia L. Kanik, Ph.D.	37,320	Andrina Williams Zink	54,539
DeAnn F. Smith	36,683	Theodore R. West	47,202	John D. Lanza	40,060

\* Limited Recognition Under 37 C.F.R. § 10.9(b)

all of **Lahive & Cockfield, LLP**, 28 State Street, Boston, Massachusetts 02109, jointly, and each of them severally, my attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

Please mail all correspondence to Anthony A. Laurentano at **Customer Number 00959**, whose address is:

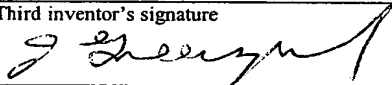
**Lahive & Cockfield, LLP**  
28 State Street  
Boston, Massachusetts 02109

Please direct telephone calls to: Anthony A. Laurentano at (617) 227-7400.

Please direct facsimiles to: (617) 742-4214

Full name of sole or first inventor <b>Rick W. Glew</b>	
Sole or first inventor's signature	Date
Residence <b>Manotick, Canada</b>	
Citizenship <b>Canada</b>	
Mailing Address <b>1183 Rideau Bend Crescent Manotick, Ontario K4M 1B5 CANADA</b>	

Full name of second inventor, if any <b>Ian B. Betty</b>	
Second inventor's signature	Date
Residence <b>Ottawa, Canada</b>	
Citizenship <b>Canada</b>	
Mailing Address <b>73 Robinson Avenue Ottawa, Ontario K1N 8N8 CANADA</b>	

Full name of third inventor, if any <b>Jonathan Greenspan</b>	
Third inventor's signature 	Date <b>Feb 4, 2004</b>
Residence <b>Dollard Des Ormeaux, Canada</b>	
Citizenship <b>Canada</b>	
Mailing Address <b>26 Fredmir Road Dollard Des Ormeaux, Quebec H9A 2R2 CANADA</b>	

Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Citizenship	
Mailing Address	